

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Round Rock Research, LLC

Application No./Patent

No./Control No.: 10/715,267 Filed/Issue Date: November 17, 2003

Entitled: METHODS FOR POLISHING SEMICONDUCTOR DEVICE STRUCTURES BY DIFFERENTIALLY APPLYING PRESSURE TO SUBSTRATES THAT CARRY THE SEMICONDUCTOR DEVICE STRUCTURES

Round Rock Research, LLC

(Name of Assignee)

, a limited liability company

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 023786, Frame 0416, or a true copy of the original assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (*i.e.*, a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/ Richard J. Botos /
Signature

May 5, 2010

Date

Richard J. Botos
Printed or Typed Name

(908) 654-5000

Telephone Number

Attorney for Owner, Round Rock Research, LLC
Title

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted via the Office electronic filing system in accordance with § 1.6(a)(4).

Dated: May 5, 2010

Electronic Signature for Richard J. Botos: /Richard J. Botos/